



CENTER STREET PARTNERS

Confidential Financial Questionnaire ♦ Return information on last page

Thank you for your interest in our business. This confidential form will help us see the “big picture” and begin a macro discussion of your financial life. The more information we have, the better we will be able to serve you. This is a writeable pdf which may be printed and mailed or returned electronically. (When completed, you may not be able to save your data within the form, unless you print to a pdf file. Note: if returning this form through email, please call us for a secure, encrypted link to maintain privacy.)

We have a thorough process ... designed to give you maximum value. In addition to the information requested on this form, here is a checklist of the supplemental materials needed. If you are mailing or scanning the following items, you may want to call our office to discuss the best way to do this. Please include ...

- Current wills, trusts, and other estate documents
- Business agreements, if applicable (Buy/Sell arrangements, etc.)
- Income tax return for the past year
- Paycheck subs for you and your spouse, showing deductions from gross income
- Insurance policies (listed below);
 - Automobile billing statement Homeowner’s or renter’s billing statement
 - Life insurance policies (include recent cash value statement, if applicable)
 - Disability policy Major medical policy
 - Liability policy Other types
- Company-provided group benefits for you and your spouse
- Group disability benefit booklet(s) (listing definitions and benefits)
- Mutual fund or brokerage account statements
- Retirement plan statements
- Stock Options statement

NOTE: PLEASE DISREGARD REFERENCES TO A “SPOUSE,” IF NOT APPLICABLE. USE LAST PAGE FOR ADDITIONAL SPACE, IF NEEDED.

Family Data

Date of Birth

Birth Place

Your Legal Name	Date of Birth	Birth Place
Spouse’s Name		
Child		
Child		
Child		
Child		
Child		
Child		
Child		

Address

Mailing Address		
City	State	Zip
Street Address (If Different)		
City	State	Zip
Second Home Winter Address Vacation Home Other		
City	State	Zip

Email and Phone Numbers

Preferred Email Address (Used for Confidential Information)	
Your Business Email Address Please use for appointment confirmations and brief day-time responses	
Spouse's Business Email Address Please use for appointment confirmations and brief day-time responses	
Preferred Telephone Number (Daytime)	Personal Residence Phone Number
Cell Phone (You) Use only for emergencies	Cell Phone (Spouse) Use only for emergencies

Employment Information

You	Occupation	Employer	How Long	Department
Spouse	Occupation	Employer	How Long	Department
For Self-Employed	Percentage of Ownership	<input type="checkbox"/> Sole Proprietor <input type="checkbox"/> Partnership <input type="checkbox"/> S-Corp <input type="checkbox"/> C-Corp <input type="checkbox"/> LLC		
Your Business Address				
City	State	Zip		
Your Spouse's Business Address				
City	State	Zip		

Income

You	Annualized Base Income	Pay Period (Wkly, Bi-Wkly, Monthly)	Estimated Bonus	Estimated Commissions	Annual Stock Options
Spouse	Annualized Base Income	Pay Period (Wkly, Bi-Wkly, Monthly)	Estimated Bonus	Estimated Commissions	Annual Stock Options

Other Income

Rentals	Source 1 (Annual Net Cash Flow)	Source 2 (Annual Net Cash Flow)	Source 3 (Annual Net Cash Flow)	Source 4 (Annual Net Cash Flow)	Source 5 (Annual Net Cash Flow)
Royalties	Source 1 (Annual Net Cash Flow)	Source 2 (Annual Net Cash Flow)	Source 3 (Annual Net Cash Flow)	Source 4 (Annual Net Cash Flow)	Source 5 (Annual Net Cash Flow)
Other	Source 1 (Annual Net Cash Flow)	Source 2 (Annual Net Cash Flow)	Source 3 (Annual Net Cash Flow)	Source 4 (Annual Net Cash Flow)	Source 5 (Annual Net Cash Flow)

Secondary Business	Annual Income	<input type="checkbox"/> Sole Proprietor <input type="checkbox"/> Partnership <input type="checkbox"/> S-Corp <input type="checkbox"/> C-Corp <input type="checkbox"/> LLC				
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Savings Component

		New Money	Old Money			
Checking Accounts	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Savings Account	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Savings Account	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Savings Account	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Credit Union Accounts	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Savings Bonds (Total)	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Certificate of Deposit	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Certificate of Deposit	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Money Market Fund	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Money Market Fund	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Annuities	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Non-Deductible IRA	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Roth-IRA	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Deductible IRA	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
403(b), TSA	Rate of Return	Monthly Contribution or Percentage	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
401(k), Simple IRA, etc.	Rate of Return	Monthly Contribution or Percentage	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
401(k), Simple IRA, etc. Company Match (Either list dollar amount or percentage)				Yourself	Spouse	
401(k), Simple IRA, etc.	Rate of Return	Monthly Contribution or Percentage	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
401(k), Simple IRA, etc. Company Match (Either list dollar amount or percentage)				Yourself	Spouse	
KEOGH	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Pension (Please state vested benefit or lump sum amount)				Yourself	Spouse	
Other	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Other	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Other	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)

Additional Comments or Notes

Growth Component

	Rate of Return	New Money	Old Money			
		Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Government Securities	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Government Securities	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Corporate Bonds/Funds	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Corporate Bonds/Funds	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Municipal Bonds/Funds	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Municipal Bonds/Funds	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Stock Mutual Funds	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Stock Mutual Funds	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Individual Stocks	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Individual Stocks	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Individual Stocks	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Limited Partnerships	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Other	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Other	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)
Other	Rate of Return	Monthly Contribution	Jointly Held (Principal)	Yourself (Principal)	Spouse (Principal)	Children (Principal)

Additional Comments or Notes

Real Estate

Personal Residence	Year Purchased	Purchased Price	Cost of Improvements	Current Market Value
Other Home	Year Purchased	Purchased Price	Cost of Improvements	Current Market Value
Other Home	Year Purchased	Purchased Price	Cost of Improvements	Current Market Value
Rental or Land	Year Purchased	Purchased Price	Cost of Improvements	Current Market Value
Rental or Land	Year Purchased	Purchased Price	Cost of Improvements	Current Market Value
Rental or Land	Year Purchased	Purchased Price	Cost of Improvements	Current Market Value
Rental or Land	Year Purchased	Purchased Price	Cost of Improvements	Current Market Value
Rental or Land	Year Purchased	Purchased Price	Cost of Improvements	Current Market Value

Mortgages or Home Equity Loans (Include margin loans, business loans and other potentially tax-deductible loans)

Personal Residence	Mo. Pmt (Principal and Interest only)	Interest Rate	Unpaid Balance	Months Remaining	Int. only
Other	Mo. Pmt (Principal and Interest only)	Interest Rate	Unpaid Balance	Months Remaining	Int. only
Other	Mo. Pmt (Principal and Interest only)	Interest Rate	Unpaid Balance	Months Remaining	Int. only
Other	Mo. Pmt (Principal and Interest only)	Interest Rate	Unpaid Balance	Months Remaining	Int. only
Other	Mo. Pmt (Principal and Interest only)	Interest Rate	Unpaid Balance	Months Remaining	Int. only
Other	Mo. Pmt (Principal and Interest only)	Interest Rate	Unpaid Balance	Months Remaining	Int. only

Consumer Loans and Other Debts (Credit cards, college loans, vehicle loans, family loans, unsecured loans, etc.)

Type	Mo. Pmt (Principal and Interest only)	Interest Rate	Unpaid Balance	Months Remaining
Type	Mo. Pmt (Principal and Interest only)	Interest Rate	Unpaid Balance	Months Remaining
Type	Mo. Pmt (Principal and Interest only)	Interest Rate	Unpaid Balance	Months Remaining
Type	Mo. Pmt (Principal and Interest only)	Interest Rate	Unpaid Balance	Months Remaining
Other	Mo. Pmt (Principal and Interest only)	Interest Rate	Unpaid Balance	Months Remaining
Other	Mo. Pmt (Principal and Interest only)	Interest Rate	Unpaid Balance	Months Remaining

Personal Property (Include items that merit insurability. Use estimated market value, not replacement value.)

Auto #1	Additional Description	Current Market Value	Estimated Miles	Remaining Yrs of Use
Auto #2	Additional Description	Current Market Value	Estimated Miles	Remaining Yrs of Use
Auto #3	Additional Description	Current Market Value	Estimated Miles	Remaining Yrs of Use

Jewelry	Additional Description	Current Market Value	Current Appraisal	Inventoried or Video Taped (Secure Storage)
Artwork/Antiques	Additional Description	Current Market Value	Current Appraisal	Inventoried or Video Taped (Secure Storage)
Boat, Trailer, etc	Additional Description	Current Market Value	Current Appraisal	Inventoried or Video Taped (Secure Storage)
Collectibles	Additional Description	Current Market Value	Current Appraisal	Inventoried or Video Taped (Secure Storage)
Other	Additional Description	Current Market Value	Current Appraisal	Inventoried or Video Taped (Secure Storage)
Other	Additional Description	Current Market Value	Current Appraisal	Inventoried or Video Taped (Secure Storage)
Household Furnishings, Appliances, etc.		Current Market	Current Appraisal	Inventoried or Video Tapes (Secure Storage)

Life Insurance

Insurance Company	Insured	Annual Premium	Death Benefit Amount	Cash Value, If Applicable	Outstanding Loan
Insurance Company	Insured	Annual Premium	Death Benefit Amount	Cash Value, If Applicable	Outstanding Loan
Insurance Company	Insured	Annual Premium	Death Benefit Amount	Cash Value, If Applicable	Outstanding Loan
Insurance Company	Insured	Annual Premium	Death Benefit Amount	Cash Value, If Applicable	Outstanding Loan
Insurance Company	Insured	Annual Premium	Death Benefit Amount	Cash Value, If Applicable	Outstanding Loan
Insurance Company	Insured	Annual Premium	Death Benefit Amount	Cash Value, If Applicable	Outstanding Loan

Disability Insurance (Personally owned, not company group insurance.)

Insurance Company	Insured	Annual Premium	Monthly Benefit
Insurance Company	Insured	Annual Premium	Monthly Benefit
Insurance Company	Insured	Annual Premium	Monthly Benefit
Insurance Company	Insured	Annual Premium	Monthly Benefit

Additional Information

Have you or your spouse ever been rated or denied insurance coverage?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Do you use any tobacco products?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Does your spouse use any tobacco products?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Do you have a current will or living trust?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Do you have an active relationship with an attorney?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Do you have an active relationship with an accountant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Do you have an active relationship with a financial advisor?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Current Date: _____ Signature: _____

